

# MACHINE LEARNING-ACCELERATED CALIBRATION OF COMPLEX HEAT SOURCE MODELS FOR WELDING AND ADDITIVE MANUFACTURING PROCESS SIMULATION

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## ABSTRACT

The heat source (HS) models dictate the spatial distribution of applied heat during welding and additive manufacturing processes, making it a critical factor for accurate simulation. However, the problem of calibrating the HS model, i.e., selecting parameters for it that match experimental conditions, has often been overlooked, with parameter selection relying on empirical heuristics and human trial-and-error. This lack of systematic calibration can lead to poor simulation performance and thus limits the expressiveness of HS models that can be used. We present a machine learning framework for efficiently calibrating HS parameters, thereby facilitating use of HS models with greater expressiveness and practical applicability than the conventional double-ellipsoidal Gaussian model. The effectiveness of the proposed methodology is validated through case studies involving spray transfer gas metal arc welding (GMAW) and pulsed GMAW additive manufacturing. Experimental validation, including comparisons of thermal histories and heat-affected zone properties, confirms strong alignment between simulation and real-world observations. This work provides a systematic framework for improving welding simulation accuracy by addressing the long-standing limitations of empirical HS models and facilitating the adoption of more expressive and precise modeling approaches. Ultimately, we conclude that (1) machine-learning optimization-based calibration of HS parameters significantly enhances simulation accuracy compared to using HS models that are calibrated using standard methods; (2) using our framework, expressive HS models that can represent complex energy distribution better align with experimental data compared to the standard double-ellipsoid Gaussian model.

Keywords: heat source modeling and calibration, finite element analysis, machine learning, Bayesian optimization

## INTRODUCTION

Heat source (HS) models are a group of spatial density functions that describe the heat input distribution in the workpiece during welding and additive manufacturing (WAM) processes. They are widely used in simulation of high-temperature material joining processes in replacement of thermal and mechanical kinetic simulations which involve the complex interaction of heat transfer, phase transformation phenomena, non-linear material thermal properties and the fluid dynamics [1-6]. With the application of HS, the simulation of WAM processes can be simplified as a heat transfer problem, where the heat input is modeled as a moving HS that dissipates energy into the workpiece. Advances in high-performance computing have enabled large-scale simulations of WAM processes and optimization methods such as the Computational Design of Experiments (CDoE) [7,8]. Applying data-driven methods of simulation and optimization to complex multi-pass WAM processes involving complex structure poses two challenges to existing HS models: 1) complex structures may require highly customized HS distributions [9] that are difficult to manually calibrate; 2) long-horizon simulations that involve multiple weld passes and long welding duration may require multiple HS models, each of which is applied at a different stage of the process such that reacts to changes in heat distribution and mediates the simulation errors aggregated from simulations of previous weld passes. Compromising such errors is beyond the consideration of empirical calibration, and the human-constrained models are not expressive enough (capable to represent diverse thermal energy distributions) to represent the complex heat transfer and phase transformation phenomena.

Conventional methods that combine expressiveness-limited HS models with trial-and-error calibration following empirical heuristics have become a substantial bottleneck to the application of computational optimization methods to large scale simulations. After reviewing existing approaches to perform WAM processes simulations, we find that parameterized HS models are typically calibrated against experimental data, where the parameters are adjusted to minimize the difference between the simulation and the experimental results. The HS model parameters must be optimized to agree with experimental data because the heat input to the workpiece is not typically measurable experimentally. In practice, the double-ellipsoidal Gaussian (DEG) model [10] is the most frequently used HS model in WAM simulations. The DEG model typically outperforms simple heat sources (e.g., point heat sources [11], surface round heat source [12]), but the agreement it achieves is often imperfect (even when the parameters are set carefully, as we show in our experiments). However, despite this imperfection, higher dimensional HS models are not widely used. We speculate that this is primarily because of a combination of factors, including the perception that higher dimensional HS models require too much experimental data and computation to calibrate with standard approaches and the reduced human interpretability - it is more difficult to intuitively know how to set parameters, which is a barrier for standard approaches.

We hypothesize that the lack of successful high dimensional HS models has slowed down the application of computational methods in the WAM community, especially in complex and long-horizon tasks. In this paper, we propose a machine learning framework for efficiently calibrating HS parameters such that enable a new generation of HS models that are more expressive and practical. We validate the effectiveness of the proposed framework through case

studies involving spray transfer gas metal arc welding (GMAWs) and pulsed gas metal arc (GMAWp) additive manufacturing. The observed validation shows that the proposed calibrated heat source models reduced peak temperature prediction error and the predicted thermal histories well aligned with experiments for the GMAW and GMAW-p AM processes. Thus, we conclude that expressive HS models and efficient calibration methods can improve simulation accuracy.

## RELATED WORK

In this section, we review related work in the analytical and numerical solution of welding dynamics (Section 0) to provide a background for welding process analysis and application of HS models. Additionally, we review parameter optimization methods for HS models (Section 0).

### ANALYTICAL AND NUMERICAL SOLUTION OF HEAT TRANSFER ANALYSIS

Analytical and numerical approaches are two methods to perform heat transfer analysis. The analytical method requires mathematical modeling of the entire environment (i.e., material properties, boundary conditions, heat distribution, etc.) to derive the temperature field function by time and location, while numerical solution uses a discrete representation of the environment and state transition function (e.g., partial differential equation) to approach an error-bounded solution iteratively. In practice, the analytical method can only be applied in studies of simple structures, constant material properties, plain boundary conditions [9] and simple HS models [11,12]. Therefore, analytical solution is of limited use for the complex welding environments and processes [9,12-16].

Numerical methods can resolve environments involving complex structures, non-linear material properties, varying boundary conditions and non-plain processes. Transient simulation of welding processes became feasible with finite element model simulation, where de Freitas Teixeira et al. [17] points out that accurate modeling of the heat distribution is critical for resolving thermal dynamics in welding process. Ur Rehman et al. [18] adopts a Gaussian distribution model to compromise FEA-based analysis for WAM process. Eager and Tsai [19] quantifies the application of Gaussian HS models by implementing a simple distribution parameter with which aiming to fit the shape of heat distribution against the weld pool dimensions. Goldak et al. [10,20] adjusts the Gaussian-based HS model to account for the regional contraction and expansion effects of heat distribution caused by moving HSs, introducing the double-ellipsoidal Gaussian (DEG) model. Its extensions later become prevalent in subsequent studies [21-26]. However, the DEG model is prone to over-simplifying the heat distribution especially for a weld pool with deep penetration [10], and in the central region of the weld pool where the simulation results are sensitive to the HS model [16,27]. For such cases, Wahab et al. [16] uses a composite HS model to represent both the surface arc energy and the droplet energy in gas metal arc welding, however the selected spherical heat source model is

not able to represent finger-like penetrations that are common to see in high heat input GMAW processes.

#### PARAMETER OPTIMIZATION FOR HEAT SOURCE MODELS

Goldak et al. proposed the DEG model along with an empirical parameterization method that is based on the weld pool dimensions [10,20]. Based on the DEG model, following studies have been conducted to characterize the HS model parameters [17,28,29] by evaluating how the simulated heat-affected zone (HAZ) or the thermal history align with the experimental results. Other studies model the fluid flow in the weld pool [1,4,11,30] and show this modeling leads to better determination of HS parameters. Optimization-based approaches have also been explored. SysWeld™ provides a Heat Source Fitting module that optimizes the HS model parameters by allowing users to iteratively adjust the parameters, thus approaches the experimental morphology of the weld pool [31,32]. Luo [7] developed a brute-force grid search method to calibrate heat source parameters for gas tungsten arc welding (GTAW) process simulation, which formally defines the calibration problem as a parameter optimization problem, but has low computational efficiency. Although there have been studies in parameterization of HS models, to our best knowledge, there is no discussion in developing HS models for matching weld pools resulting from different fluid patterns, nor in the application of machine learning methods to calibrate HS model parameters.

#### PRELIMINARIES

In this section, we review the double-ellipsoidal Gaussian HS model and cylinder-semi-sphere HS model, then provide background on the optimization methods that are related to this work.

#### HEAT SOURCE MODELS

**Double Ellipsoidal Gaussian Model (DEG)** [10] is the most widely used HS model in WAM practice. The DEG model uses two ellipsoids to model the heat distribution for the pre- and post-weld region respectively. We rewrite the HS model to depend on the parameters  $(\sigma_x, \sigma_y, \sigma_z, \alpha_x^+, \dots, \alpha_z^-, k)$ , with  $\sigma_{h \in \{x,y,z\}} > 0$  as the boundary at the corresponding axis. Let  $Q$  (J/mm) be the heat input rate, and  $q(0)$  be the maximum flux at the center of DEG model, defined by:

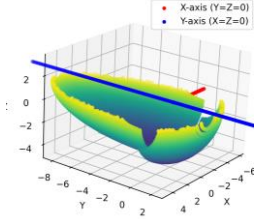
$$q(0) = \frac{2k^{1.5}Q}{\sigma_x\sigma_y\sigma_z\pi^{1.5}}$$

As the  $+y$  is the welding direction,  $\sigma_x$ ,  $\sigma_y$  and  $\sigma_z$  represent the width, length and depth of the heat source respectively.  $\alpha_y^+$  and  $\alpha_y^-$  are the correction factors at the  $+y$  and  $-y$  octants that model the pre-weld contraction ( $\alpha_y^+ < 1$ ) and post-weld extension ( $\alpha_y^- > 1$ ) effects.

Similarly,  $\alpha_x^+, \alpha_x^-, \alpha_z^+, \alpha_z^-$  allow adjusting boundaries at the HS width and depth, even though they are mostly kept 1 to ensure symmetric width and depth in practice. While the aforementioned parameters control the geometric representation of the DEG model,  $k$  controls the spreading of the energy distribution – DEG models with large  $k$  have more energy near HS center and vice versa.

$$\forall h \in \{x, y, z\}, \alpha_h = \begin{cases} \alpha_h^+, & h \geq 0 \\ \alpha_h^- & \text{otherwise} \end{cases} \quad (1)$$

With the help of the indicator function (Equation (1)), the flux distribution of DEG model is defined as Equation (2).



$$q_{DEG}(x, y, z) = q(0) \exp\left(\sum_{h \in \{x, y, z\}} -\frac{k\alpha_h^2 h^2}{\sigma_h^2}\right) \quad (2)$$

**Fig. 1** Boundary of the DEG model

Fig. 1 shows the surface boundary of DEG model at which the energy intensity  $q_{DEG} = fq(0)$ . Goldak et al. [10] defined the default value of the parameters as  $f = 0.05$ , while  $\sigma_x, \sigma_z, \sigma_y$  are directly taken from the weld pool width, depth, and length. We used the DEG model parameterized by this method as the baseline HS model and refer to it as empirical DEG model for the rest of paper.

**Cylinder-Semi-Sphere (CSP) model** uses a cylinder with a semi-sphere attached, to model the heat distribution with deep penetration. This model is implemented in SysWeld™ for users to use. It is parameterized by  $(r_t, r_b, h_t, h_b, k)$ , where  $r_t, r_b$  are the top and bottom radiuses of the cylinder, and  $h_t, h_b$  are the top and bottom height of the cylinder. A semi-sphere is attached to the bottom of the cylinder with radius  $r_b$ . The cylinder-semi-Sphere model is defined as Equation (3).

$$R(x, y, z) = \begin{cases} r_t * \frac{z - h_b}{h_t - h_b} + r_b * \frac{h_t - z}{h_t - h_b}, & h_b \leq z \leq h_t \\ r_b, & h_b - r_b \leq z < h_b \\ 0, & \text{otherwise} \end{cases} \quad (3)$$

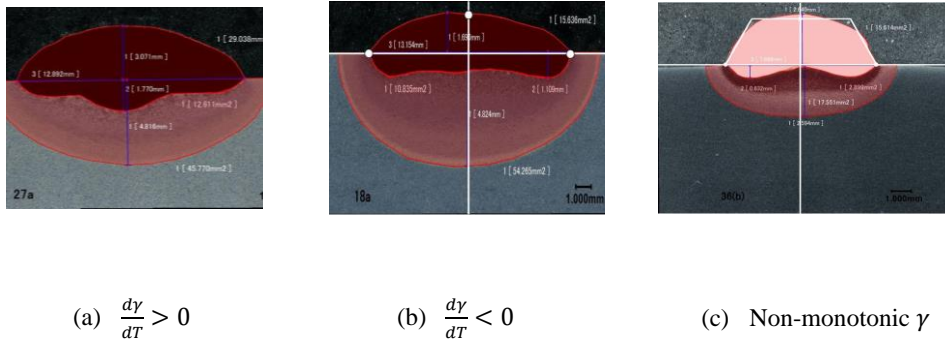
$$r(x, y, z) = \begin{cases} \|(0, 0, z), (x, y, z)\|^2, & h_b \leq z \leq h_t \\ \|(0, 0, h_b), (x, y, z)\|^2, & h_b - r_b \leq z < h_b \\ \infty, & \text{otherwise} \end{cases}$$

$$q_{CSP}(x, y, z) = q(0) \exp\left(-k \frac{r(x, y, z)}{R(x, y, z)}\right)$$

Where for each point  $(x, y, z)$  in the space,  $R(x, y, z)$  represents the distance from its closest point  $p$  on the HS axis to where the ray line  $(p, (x, y, z))$  falls on the boundary; and  $r(x, y, z)$  are the distance from  $(x, y, z)$  to the  $p$ . Like the DEG model,  $k$  controls the spreading of the HS energy for the CSP model.

FLUID FLOW AND HEAT DISTRIBUTION

In arc welding, the distribution of the temperature field is affected by the convective fluid flow, the electromagnetic field, and the surface tension [3-6,16]. Such complex interaction has resulted in different fluid flow patterns in the weld pool. Mills et al. and Li et al. [3, 33] identify three major different weld pool flow patterns caused by the material surface-tension-temperature gradient  $(\frac{d\gamma}{dT})$  in liquid phase. Inward flow is observed when  $\frac{d\gamma}{dT} > 0$ , where the surface flow gets pulled inward, such that the center flow flushes the substrate, causing penetration that is significantly deeper than usual weld pool (Fig. 2a). When under negative  $\frac{d\gamma}{dT}$ , the surface flow is pushed outward thus spreads to the side of the weld pool, causing the weld pool to have a flat bottom as shown in Fig. 2b. In the case of non-monotonicity of  $\frac{d\gamma}{dT}$ , the liquid in between the side and center reaches a peak surface-tension, pulling flow from both weld pool center and sides, indicated by Fig. 2c.



**Fig. 2** Cross-sections of typical weld pools in GTAW

To take these fluid flow patterns into account, HS models that can model more complex distribution (i.e., more expressive) than DEG and CSP models are needed. Previous HS models [10-12,20] are designed in ideal conditions where phenomena beyond the torch and arc energy distribution, such as fluid flow patterns, are not considered.

## PARAMETER OPTIMIZATION METHODS

Parameter optimization aims at searching for optimal parameters against an objective function. For scenarios with expensive evaluations and black-box settings, Bayesian optimization is one popular method. Recently, first-order gradient descent methods have drawn significant attention due to their successes in deep neural network optimization.

*Bayesian Optimization*

Bayesian optimization (BO) is a sample-efficient method for optimizing costly, black-box functions. BO uses a probabilistic surrogate model, typically a Gaussian process (GP) [34], to model the unknown objective function and guide sampling through an acquisition function.

Let the HS model be represented as a function  $f(h)$ , where  $h \in \mathcal{H} \subset \mathbb{R}^d$  denotes a vector of model parameters in the HS parameter space  $\mathcal{H}$ . The goal is to solve the calibration problem:

$$h^* = \operatorname{argmin}_{h \in \mathcal{H}} \mathcal{L}(f(h), y_{exp})$$

where  $\mathcal{L}$  is a loss function (e.g., mean squared error) comparing model predictions  $f(h)$  to experimental measurements  $y_{exp}$ . Since direct evaluation of  $f(h)$  involves computationally intensive simulations, BO constructs a surrogate  $\tilde{f}(h) \sim GP(\mu(h), k(h, h'))$ , where  $\mu$  and  $k$  denote the predictive mean and covariance.

An acquisition function  $\Psi(h; D)$ , defined based on the GP posterior over  $\mathcal{H}$ , is then maximized to select the next evaluation point:

$$h_{t+1} = \operatorname{argmax}_{h \in \mathcal{H}} \Psi(h; D_t)$$

where  $D_t = \{(h_i, L_i)\}_{i=1}^t$  is the set of evaluated points and observed losses up to iteration  $t$ . After each new evaluation, the surrogate model is updated, and the process is repeated until a stopping criterion is met.

*Gradient-based Optimization*

The most important application of gradient-based optimization is in training deep neural networks (DNN) that potentially approximate arbitrary distributions [35, 36]. In training neural networks, the parameters ( $\theta$ ) of neural networks are optimized for minimal prediction loss with a set of sample and gold values  $(X, Y)$ , where  $X = [x_0, \dots, x_{|X|}]$ ,  $Y = [y_0, \dots, y_{|X|}]$ :

$$\theta = \operatorname{argmin}_{\theta \in \mathbb{R}^d} \|DNN_{\theta}(X), Y\|^2$$

Let  $\mathcal{L}(X) = \|DNN_{\theta}(X), Y\|^2$ , assuming that the true mapping  $f^*: X^* \rightarrow Y^*$  is a smooth function, then the gradient  $\frac{\partial \mathcal{L}(X)}{\partial \theta}$  is applied to minimize the prediction loss ( $\mathcal{L}$ ):

$$\theta = \theta - lr * \frac{\partial \mathcal{L}(X)}{\partial \theta}$$

where  $lr$  is the learning rate.

## HEAT SOURCE CALIBRATION

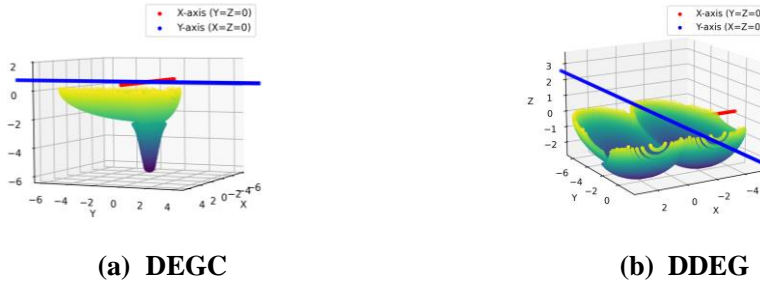
We propose to **precisely** match the post-weld fusion boundary line (FBL) in HS calibration to account for the complex multifactor phenomena in the weld pool - instead of modeling the complex interactions, a surrogate is adopted by matching their combined effect to the HAZ that can be observed from the post-weld cross-sections. This is accomplished by adopting a more expressive HS models (Section 0) that can represent the complex heat distribution in the weld pool combined with machine learning-based optimization methods to calibrate the HS model parameters for matching the post-weld FBL (Section 0 and 0).

### NEW HEAT SOURCE MODELS

In this section, two new HS models are proposed to address the limitation of the existing DEG model. The first model, called Double-ellipsoidal Gaussian and Cylinder-semi-Sphere (DEGC) model (Fig. 3a), is composed of a DEG model and a CSP model, alike to the approach as Champagne and Pham [37]. The DEGC model is designed to represent the phenomena observed in Fig. 2a. The second model, Dual Double-ellipsoidal Gaussian (DDEG) HS model (Fig. 3b), combines two identical DEG models, but with different energy intensities, such that it represents the complex flow phenomena observed in Fig. 2c, where negative  $\frac{dy}{dT}$  cases as Fig. 2b can be represented by the DEG model.

**Double-Ellipsoidal Gaussian and Cylinder-semi-Sphere Model (DEGC)** The weld pool with deep penetration is hard to model with DEG model, as it neglects the heat flow in the  $z$  direction [10]. To address the challenge of modeling deep penetration, the DEGC model couples a DEG model on top of a CSP model. Based on Equation (2) and (3), we can define the DEGC model as Equation (4), and the HS boundary is shown in Fig. 3a.

$$q_{DEGC}(x, y, z) = h_r q_{CSP}(x, y, z) + (1 - h_r) q_{DEG}(x, y, z) \quad (4)$$



**Fig. 3** HS boundaries of the DDEG and DEGC heat source model

Where  $h_r$  adjust the energy split to the CSP and DEG HSs. Note that both the DEG and CSP are special cases of DEGC, corresponding to  $h_r = 0$  and  $h_r = 1$ , respectively.

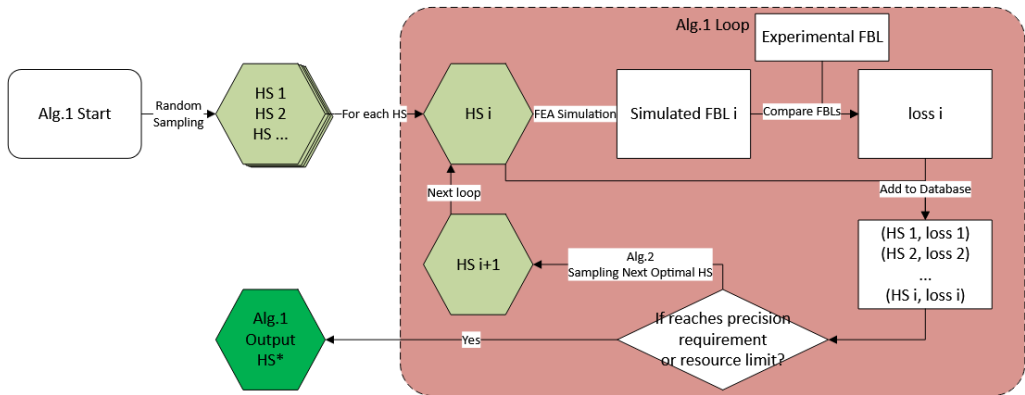
**Dual Double-Ellipsoidal Gaussian Model (DDEG)** is modified from the DEG model by composing two identical DEG models, where the energy intensity levels of the two models are adjusted by the indicator  $r_x$  ( $r_x^+ + r_x^- = 2$ ) and the distance between the two models  $d$ . The HS model is defined as Equation (5), whose HS boundary is shown in Fig. 3b.

$$q_{DDEG}(x, y, z) = r_x q(0) \exp\left(-\frac{k\alpha_x^2(|x|-d)^2}{\sigma_x} - \frac{k\alpha_y^2 y^2}{\sigma_y^2} - \frac{k\alpha_z^2 z^2}{\sigma_z^2}\right) \quad (5)$$

The DDEG model is designed to capture the complex flow phenomena as shown in Fig. 2c: the liquid flow creates a "W" shape fusion line in the weld pool, which when simulating the heat distribution with DEG model, would need modeling two heat centers. Note that the DEG model is a special case of DDEG model (i.e., when  $r_x = 1, d = 0$ ).

### HEAT SOURCE CALIBRATION

The HS models introduced in Section 0 come with the cost of increasing the number of parameters that must be fit. To address the challenge of calibrating the HS model parameters in the context of the experimental weld HAZ properties, we introduce a heat source calibration framework that can optimize HS model parameters more efficiently.



**Fig. 4** Heat Source Calibration Framework

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**Alg. 1** Heat Source Calibration (HSC)
 

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**Input:**

*FEA*: Finite Element Model  
*H*: Heat Source Parameter Space  
 $\tau_\omega$ : The HAZ properties of goal experimental weld  
 $\varphi_\omega$ : The Welding parameters of goal experimental weld  
 $loss(\tau_\delta, \tau_\omega)$ : The heat source evaluation function.  
 $\bar{\delta}$ : Upper limit of the calibration cases

```

1:  $fl_\omega \sim \tau_\omega$  # Extract fusion line from HAZ of  $\tau_\omega$ 
2:  $\mathcal{S} = \emptyset$  # Initialized the set of explored HSs
3: while  $|\mathcal{S}| < \bar{\delta}$  do
4:    $h \sim \mathcal{H}/\mathcal{S}$  # Sample a HS parameter  $h$  with Alg. 2
5:    $\tau_\delta^h = FEA(h, \varphi_\omega)$  # Run weld simulation with  $h$  and  $\varphi_\omega$ 
6:    $l_h = loss(\tau_\delta^h, \tau_\omega)$  # Calculate the loss value for  $h$ 
7:    $\mathcal{S} = \mathcal{S} \cup \{(h, l_h)\}$  # Add  $h$  and its loss value  $l_h$  to  $\mathcal{S}$ 
8: end while # Reach calibration limit
9: return  $h_\omega = argmin_{(h, l_h) \in \mathcal{S}} l_h$ 
    
```

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Rather than intuitively selecting the parameters according to the experimental weld followed by the trial-and-error method, we introduce a machine learning framework to calibrate the HS model parameters. Alg. 1 and Fig. 4 shows the HS calibration procedure which aims to optimize the HS model by repeatedly sampling the potentially better HS model, calculating its loss in simulation, and, when the simulation budget is reached, returning the HS model parameters with lowest loss. Formally, the calibration goal is to match the FBL ( $\tau_\omega$ ) that have been extracted from the experimental weld piece by setting the parameters of the HS model ( $h_\omega$ ) to minimize the simulation error ( $\Delta$ ) when it is used in a simulation along with the welding parameters  $\varphi_\omega$  from the experimental weld:

$$h_\omega = argmin_{h \in \mathcal{H}} \Delta(\tau_h^\varphi, \tau_\omega^\varphi)$$

In this paper, we use fusion boundary line (FBL) as the calibration target, because FBL can be observed for many dissimilar metal welding processes using simple image processing methods. For other processes where FBL is indistinguishable, hardness value could be used as calibration criteria if there comes accurate predictive method that relates thermal history to hardness value [38,39]. Contrasting to the Heat Source Fitting module in SysWeld™ that relies on manual interactive adjustment of HS parameters, the principle of the HSC framework is adopting data-driven approach to benefits from all parameters been explored. The data-driven method ensures that all explored HS parameters contribute to profile the HS parameter space, with which, intelligent sampling method can approach global optimal HS efficiently and with confidence. The sampling method omitted Line 4 is introduced in Alg. 2.

## MACHINE LEARNING-ACCELERATED CALIBRATION

The sampling of the new HS model parameters ( $h$ ) (Line 4) in the parameter space  $\mathcal{H}$  is a crucial step in the HS calibration process. For efficient calibration, the sampling strategy should balance exploration and exploitation in the parameter space, where exploration refers

to searching for new regions in the parameter space that may yield better results, and exploitation refers to refining the search around known good regions.

To achieve this balance, we propose a two-stage hybrid optimization strategy (Alg. 2) for the heat source calibration. Given the set of explored heat source and loss pairs  $\mathcal{S}$ , we first fit the surrogate model  $Q_\theta(h)$  to predict the loss value associated with each heat source parameter  $h$  (Line 1-3).  $Q_\theta(h)$  is a deep neural network (DNN) that takes the heat source model parameters  $h$  as input and outputs the loss value.  $\theta$  is the parameters of the DNN to be trained on the data  $s$ . Then in the first sampling stage (Line 4), we use Bayesian optimization (BO) combined with upper confidence bound acquisition function [40] to explore the parameter space and identify potentially optimal regions (near  $h$  in Line 5). In the second stage (Line 5-7), we apply a gradient-based optimization method to locally fine-tune the parameter values from the best-found candidate. This hybrid approach enables reliable and sample-efficient calibration based on experimental fusion zone data.

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**Alg. 2** Hybrid Learning-Accelerated Calibration (HLAC)
 

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**Input:**

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 $\mathcal{S} = \{(h, l_h), \dots\}$ 
 $Q_\theta(h) = f: \mathcal{H} \rightarrow \mathcal{R}$ 
 $l$ : Learning Rate
 $K$ : Learning Steps
 $k$ : Sampling Steps
1: for  $i = 1 \rightarrow K$  do
2:    $\theta = \theta - E_{h \in \mathcal{S}} \left[ l \frac{\partial [MSE(Q_\theta(h), l_h)]}{\partial \theta} \right]$  # Update the surrogate model
3: end for
4:  $f(h) \sim GP(\mu_{(h,l) \in \mathcal{S}}(h), k_{(h,l),(h',l') \in \mathcal{S}}(h, h'))$  # Learn Gaussian process function  $f(h)$ 
5:  $h = \operatorname{argmax}_{h \in \mathcal{H}} \Psi(h; \mathcal{S})$ 
6: for  $i = 1 \rightarrow k$  do
7:    $h' = h' - l \frac{\partial [Q_\theta(h')]}{\partial h'}$ 
8: end for
9: return  $h'$  # Return the new HS parameter
    
```

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## EVALUATION

The proposed DEGC and DDEG HS models (Section 0) and the machine learning-accelerated calibration method (Section 0) are demonstrated and evaluated in this section. The evaluation is conducted by physical experiments and FEA simulations of spray arc gas metal arc welding (GMAWs) and pulsed arc gas metal welding (GMAWp) wire-arc direct energy deposition (WA-DED) processes. For each process, we run HS calibration (Alg. 1) with the proposed HS models in Section 0 and the HLAC method (Alg. 2).

### EXPERIMENTAL SETUP AND MODELING SETUPS

GMAWs bead on plate weld HHI, MHI, and LHI welds is used in HS calibration for FEA modeling of multi-pass groove welds in Fe-10Ni steel [41]. The GMAWP WA-DED process

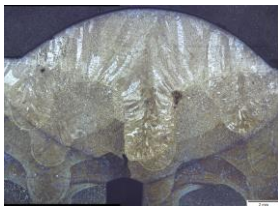
is developed for a single bead per layer additive build of a high strength steel (HSS) [42]. Table 1 shows the welding parameters used with the three processes.

**Table 1** Experimental Setup for the GMAWs and GMAWp processes

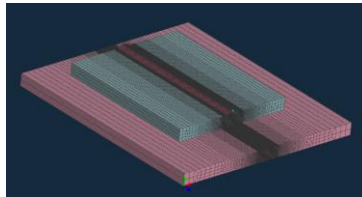
|                       | GMAWs   |      |      | GMAWp – WA-DED |
|-----------------------|---------|------|------|----------------|
|                       | LHI     | MHI  | HHi  |                |
| Base Material         | Fe-10Ni |      |      | A36 steel      |
| Filler Material       | Fe-10Ni |      |      | HSS            |
| Voltage $V$           | 27      |      |      | 17.3           |
| Current $A$           | 300     |      |      | 174            |
| Heat Input $J/mm$     | 993     | 1574 | 2204 | 819            |
| Travel Speed $mm/s$   | 7.9     | 5.04 | 3.8  | 4.23-5.93      |
| Wire Feed Rate $mm/s$ | 139     |      |      | 245            |
| Wire Diameter $mm$    | 1.14    |      |      | 1.14           |

The thermal histories experienced in the heat affected zones (HAZ) of these welds and builds are recorded using 0.2 mm diameter Type C thermocouples and a fast-sampling data acquisition system. The thermocouples are installed in ceramic insulators and capacitor-discharge welded to the tips of 1.8 mm diameter holes, located within 1 to 4 mm distance from the anticipated fusion boundaries, as shown in Fig. 5a. The welds and the WA-DED build are cross sectioned, prepared for characterization using standard metallography techniques, and the FBLs extracted using the image processing software ImageJ™.

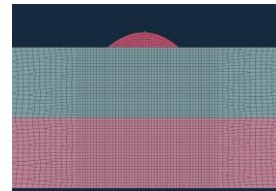
FEA models of the two processes are developed in SysWeld™ using fusion zone and HAZ mesh size of 0.5 mm. The FEA models are run with process parameters and material properties of the respective base and weld filler metals listed in Table 1. Cross sections of a GMAWs bead on plate weld, and a GMAWp WA-DED build, their respective FEA models used in the HS calibration and evaluation procedures, and extracted FBLs are respectively shown in Fig. 5 and Fig. 6.

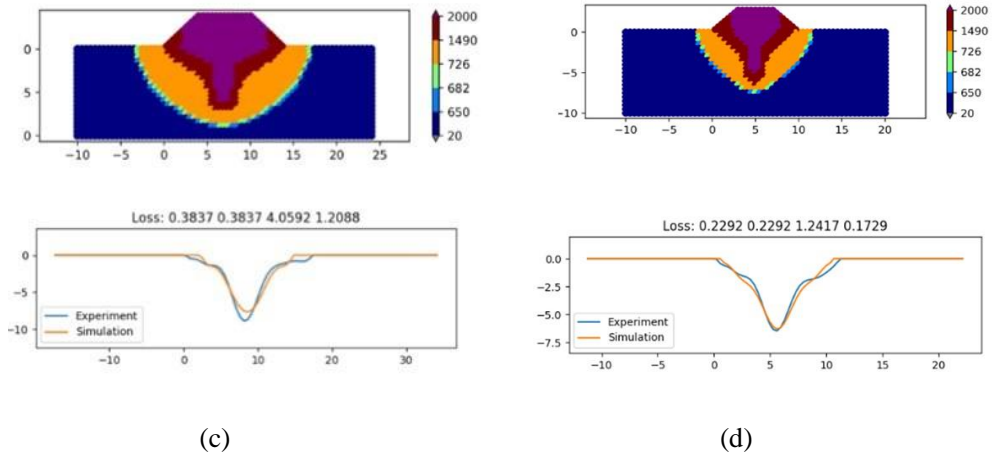


(a)

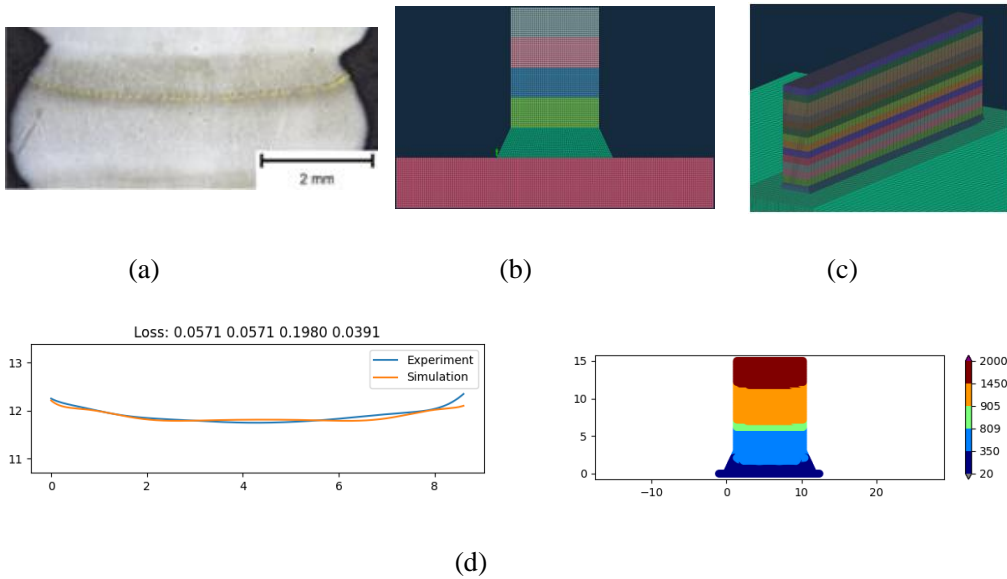


(b)





**Fig. 5** GMAWs bead on plate weld: a) HHI bead cross section with thermocouple hole location, b) FEA model: weld bead deposited over Fe-10Ni overlay on low alloy steel substrate; experimentally extracted and DEGC HS calibrated FBLs: c) HHI, d) LHI



**Fig. 6** GMAWp WA-DED build: a) build cross section with highlighted FBL, b) FEA model, c) experimentally extracted and DDEG HS calibrated FB

## HEAT SOURCE CALIBRATION

For the calibration criteria, we use the FBLs extracted from the experimental welds as the target FBLs. The loss function is defined as the mean square error (MSE) between the simulation and the experimental FBLs as Equation (6).

$$loss_{fl}(\tau_h^\varphi, \tau_\omega^\varphi) = \int_{x_0}^{x_1} \left| fl_h^\varphi(x) - fl_\omega^\varphi(x) \right|^2 dx \quad (6)$$

where  $fl_h^\varphi(x)$  is the FBL of the simulation with HS model  $h$ , and  $fl_\omega^\varphi(x)$  is the FBL of the experimental weld, both with welding parameters  $\gamma$  (Table 1). The parameters of the calibrated GMAWS and GMAWP WA-DED heat sources are listed in Table 2.

**Table 2** Calibrated HS models and parameters

| Heat Source                         | GMAWs [41] |           |           | GMAWp – AM [42] |
|-------------------------------------|------------|-----------|-----------|-----------------|
|                                     | LHI        | MHI       | HHI       |                 |
| Name                                |            | DEGC      |           | DDEG            |
| $\sigma_x, \sigma_y, \sigma_z$ (mm) | 10,6,1     | 16,8,3    | 6,3,0.5   | 11.67,6.17,0.16 |
| $k$                                 |            | 1         |           | 0.53            |
| $\alpha_y^+, \alpha_y^-$            |            | 1         |           | 1               |
| $\alpha_x, \alpha_z$                |            | 1         |           | 1               |
| $d$ (mm)                            |            | 0         |           | 1.21            |
| $r_t, r_b$ (mm)                     | 2,3        | 3,4       | 2,3       | -               |
| $h_t, h_b$ (mm)                     | 1.5, -1.5  | 2.5, -2.5 | 1.5, -1.5 | -               |
| $h_r$ (mm)                          | 0.27       | 0.27      | 0.36      | -               |

Images of FEA predicted FLBs using the respective DDEG and DEGC heat source models are shown in Fig. 5c, Fig. 5d and Fig. 6d . There is a very good correlation between the FEA predicted and experimentally extracted FBLs. This demonstrates the capability of the developed DDEG and DEGC heat source models and of the calibration procedure to accurately simulate the fusion boundary regions generated by GMAWs and GMAWp WA-DED processes.

## VALIDATION

The validation of the calibrated HS model compares the simulation and the experimental thermal histories and HAZ properties. Particularly, we run extensive simulation of the experimental welds, then compare the simulation thermal history with the experimental thermal history and compare the predicted with the experimental HAZ properties (hardness and microstructure). We additionally run simulation of the GMAWp WA-DED processes with DEG model, parameterized according to the empirical method in Goldak et al. [10].

As demonstrated in Fig. 7, Table 3 and Table 4, the predicted thermal histories using calibrated DEGC and DDEG heat sources closely match the measured ones in terms of peak temperatures, heating and cooling rates.

The average error of the predicted peak temperature for the calibrated DDEG heat source model is between 0.3% and 3.4% while that for the calibrated DEGC model is between 0.8% and 4.1%. The small errors in predicting the thermal cycles parameters demonstrate the accuracy of the developed DDEG and DEGC heat source models and the HS calibration procedure.

The temperature range of multiple reheat tempering in steels is located between the AC3 temperature and a minimum tempering temperature (MTT) [43], Fig. 7b. Accurate prediction of multiple reheat thermal histories in that temperature range is of importance for efficient process optimization in temper bead welding and additive manufacturing. As shown in Fig. 7b and Table 3, the DDEG heat source demonstrated better accuracy in predicting peak temperatures and cooling times in that temperature range compared to the classical DEG heat source model.

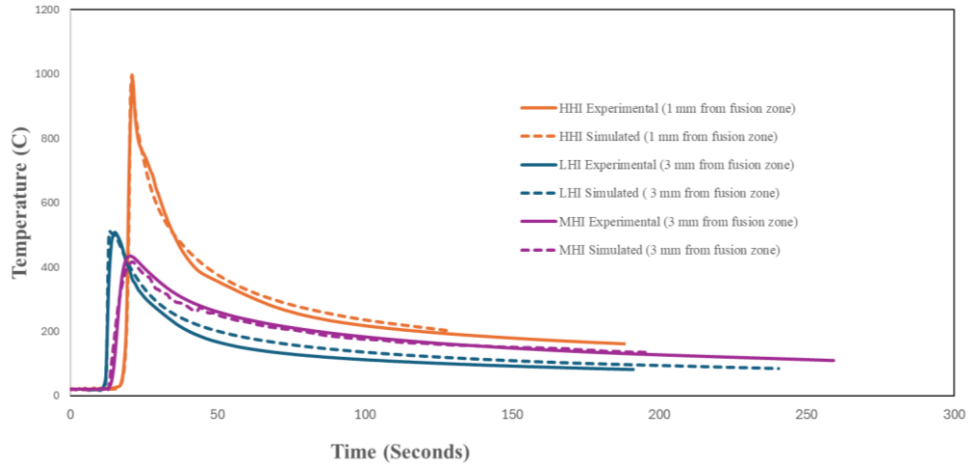
**Table 3** Peak temperature and cooling rate comparison for GMAW-p process simulated with calibrated DDEG HS

| Heat Source     | Peak Temperature [C] |          |           |           |          |           |
|-----------------|----------------------|----------|-----------|-----------|----------|-----------|
|                 | DDEG                 |          |           | DEG       |          |           |
| Thermal Cycle # | Predicted            | Measured | Error (%) | Predicted | Measured | Error (%) |
| 1               | 681                  | 686      | 0.7       | 725       | 686      | 5.4       |
| 2               | 572                  | 565      | 1.2       | 592       | 565      | 4.6       |
| 3               | 496                  | 491      | 1.0       | 506       | 491      | 3.0       |
| 4               | 441                  | 435      | 1.4       | 435       | 435      | 0         |
| 5               | 397                  | 398      | 0.3       | 393       | 398      | 1.3       |
| 6               | 363                  | 372      | 3.4       | 357       | 372      | 4.0       |

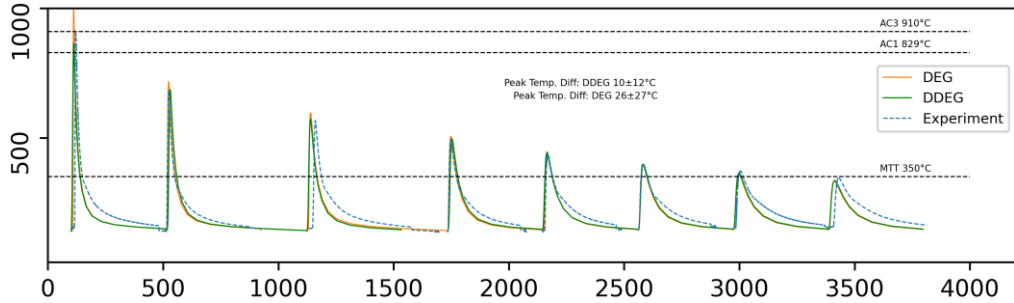
  

| Heat Source     | Cooling Time $\Delta t_p/MTT$ (s) |          |           |           |          |           |
|-----------------|-----------------------------------|----------|-----------|-----------|----------|-----------|
|                 | DDEG                              |          |           | DEG       |          |           |
| Thermal Cycle # | Predicted                         | Measured | Error (%) | Predicted | Measured | Error (%) |
| 1               | 13.22                             | 13.60    | 2.8       | 14.17     | 13.70    | 3.3       |
| 2               | 8.86                              | 8.57     | 3.4       | 9.39      | 8.57     | 8.7       |
| 3               | 6.33                              | 6.11     | 3.6       | 6.37      | 6.11     | 4.1       |
| 4               | 4                                 | 3.93     | 1.8       | 4.25      | 3.93     | 7.5       |
| 5               | 2.77                              | 2.47     | 12.1      | 2.90      | 2.47     | 14.8      |

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(a) GMAW with DEGC model



(b) GMAWp-AM

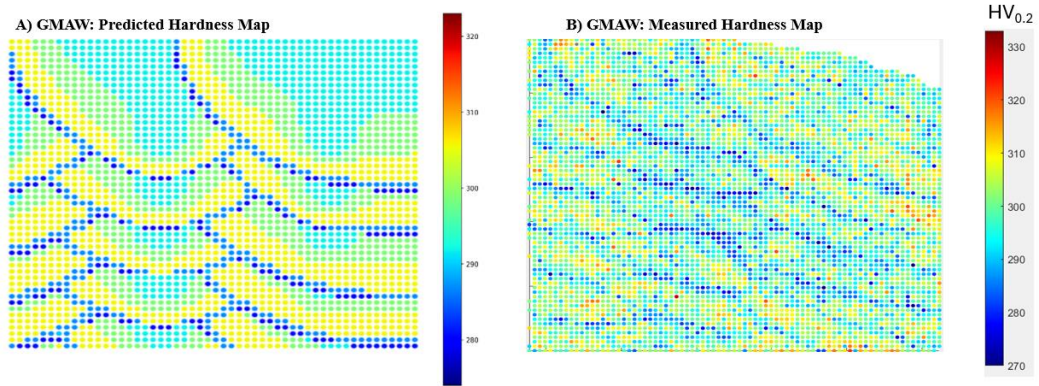
**Fig. 7** Thermal history validation for GMAW and GMAW-AM processes

**Table 4** Peak temperature and cooling time comparison for GMAWs process simulated with calibrated DEGC HS

| Heat Input<br><i>kJ/mm</i> | Peak Temperature [C] |          |           | $\Delta t_p / MTT$ (s) |          |           |
|----------------------------|----------------------|----------|-----------|------------------------|----------|-----------|
|                            | Predicted            | Measured | Error (%) | Predicted              | Measured | Error (%) |
| LHI 25                     | 511                  | 507      | 0.8       | 17                     | 20       | 15        |
| MHI 40                     | 433                  | 416      | 4.1       | 7.5                    | 8.5      | 11.7      |
| HHI 55                     | 998                  | 979      | 1.9       | 32.2                   | 34       | 6         |

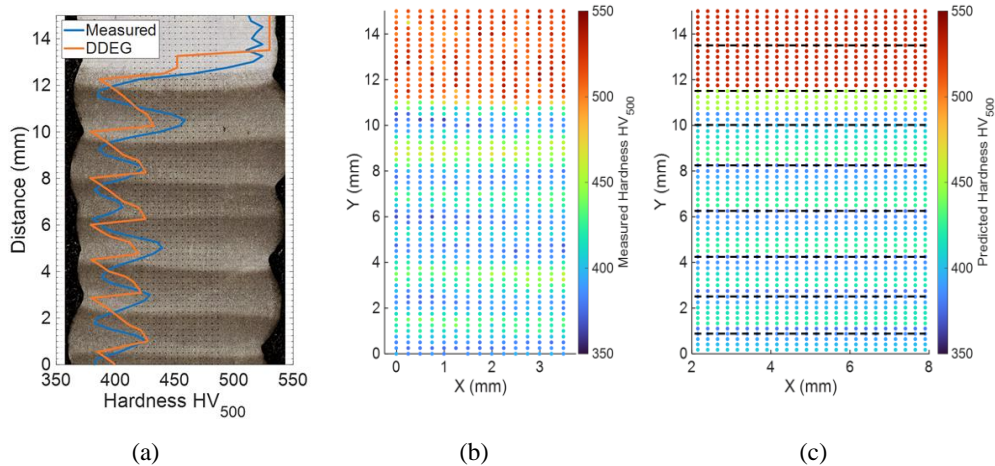
The developed DDEG and DEGC heat source models and the heat source calibration method are extensively used for microstructure and property predictions, and process optimization in welding and additive manufacturing. Examples of such applications for predicting

hardness distribution in multi-pass GMAWs build in Fe-10Ni steel [41] and in a single pas per layer GMAWp WA-DED build in HSS [42] are shown in Fig. 8 and Fig. 9, respectively.



**Fig. 8** Example application of calibrated DEGC heat source in FEA hardness prediction in a GMAWs muti-pass build in Fe-10Ni steel: a) predicted hardness map, b) experimentally generated hardness map

The predicted hardness map in Fig. 8a was developed by FEA modeling of a GMAWs processes using the MHI processes parameters in Table 1, the DGEC heat source in Table 2, and experimentally developed thermal history - hardness relationships [41]. The hardness map in Fig. 8b was generated using LECO LM 100AT hardness mapper with 200g load and 350  $\mu\text{m}$  step.



**Fig. 9** Comparison of the experimental hardness value and predicted by calibrated DDEG model in a GMAWp WA-DED build of HSS

The hardness map in Fig. 9a was generated using LECO LM 100AT hardness mapper with 500g load and 250  $\mu\text{m}$  step. The predicted hardness map in Fig. 9b was developed by FEA modeling of a GMAWp WA-DED processes using the respective processes parameters in Table 1, the calibrated DDGE heat source in Table 2, and a modified Grange-Baughman parameter (GBP) representing the tempering response in the tested HHS steel [42]. The GBP was previously modified to account for the effect of multiple reheat weld thermal histories on hardness reduction in weld metals and HAZs of low alloy steels [39,44,43,45,7,46,47].

The close correlation of predicted and experimentally measured hardness distribution shown in Fig. 8 and Fig. 9 demonstrates the benefit of using more expressive heat source models in FEA modeling of WAM processes. The performed validation study demonstrates that by calibrating the proposed DDEG and DEGC heat source models to precisely match the experimental fusion boundary lines, thermal histories and HAZ properties can be accurately predicted in FEA simulations. Comparison made between simulation using calibrated DDEG model and empirical DEG model provides evidence for effective improvement in simulation accuracy using our method. Comparison made between simulation using calibrated DDEG model and empirical DEG model, as shown in Fig. 7b, provides evidence for effective improvement in simulation accuracy using our method.

## CONCLUSION

In this paper, we extended the existing double-ellipsoidal Gaussian (DEG) heat source model to more expressive DDEG and DEGC models that more accurately represent the heat transfer in the molten pool of GMAW and WA-DED processes. We also introduced a novel machine learning-accelerated framework for efficient heat source calibration. The calibrated DDEG and DEGC heat source models were validated and demonstrated by comparing FEA predicted and experimentally measured thermal histories and the hardness distributions in heat affected zones of welded and additively manufactured components.

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